

FORM PTO-1390 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV. 11-2000)TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

PF4879USW

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/520754

INTERNATIONAL APPLICATION NO.

PCT/EP03/07615

INTERNATIONAL FILING DATE

July 11, 2003

PRIORITY DATE CLAIMED

July 12, 2002

TITLE OF INVENTION

Aryl Piperidine Derivatives And Use Thereof To Reduce Elevated Levels of LDL-Cholesterol

APPLICANT(S) FOR DO/EO/US

BOUILLOT, Anne, Marie, Jeanne; DUMAITRE, Bernard, Andre

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV 332064147 US
23. ☒ Other items or information:
 - a) PCT Cover Page
 - b) PCT Request

U.S. APPLICATION NO. (UNKNOWN, SEE 37 CFR 1.53) 10/520754		INTERNATIONAL APPLICATION NO. PCT/EP03/07615		ATTORNEY'S DOCKET NUMBER PF4879USW	
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24. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1080.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td style="height: 100px;"></td></tr> </table>					
Surcharge of \$130.00 for furnishing the oath or declaration later than _____ <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				<table border="1" style="width:100%; border-collapse: collapse;"> <tr><td style="width:50%;"></td><td style="width:50%; text-align: right;">\$920.00</td></tr> <tr><td></td><td style="text-align: right;">\$0.00</td></tr> </table>			\$920.00		\$0.00
	\$920.00								
	\$0.00								
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE						
Total claims	13 - 20 =	0	x \$18.00	\$0.00					
Independent claims	1 - 3 =	0	x \$86.00	\$0.00					
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00					
TOTAL OF ABOVE CALCULATIONS =				\$920.00					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0.00					
SUBTOTAL =				\$920.00					
Processing fee of \$130.00 for furnishing the English translation later than _____ <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$0.00					
TOTAL NATIONAL FEE =				\$920.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				\$0.00					
TOTAL FEES ENCLOSED =				\$920.00					
				Amount to be: refunded	\$				
				charged	\$				

a.	<input type="checkbox"/>	A check in the amount of _____ to cover the above fees is enclosed.
b.	<input checked="" type="checkbox"/>	Please charge my Deposit Account No. <u>07-1392</u> in the amount of <u>\$920.00</u> to cover the above fees.
c.	<input checked="" type="checkbox"/>	The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>07-1392</u>
d.	<input type="checkbox"/>	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

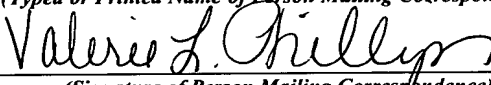
23347

SIGNATURE

Lorie Ann Morgan
 NAME

38,181
 REGISTRATION NUMBER

10 Jan 2005
 DATE

CERTIFICATE OF MAILING "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Bouillot et al.		Docket No. PF4879USW	
Serial No. To Be Assigned	Filing Date Concurrently Herewith	Examiner	Group Art Unit
Invention: Aryl Piperidine Derivatives and Use Thereof To Reduce Elevated Levels of LDL-Cholesterol			
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin: 10px 0;"> Transmittal of National Phase Application w/accompanying papers </div> <p style="text-align: center; font-size: small;">(Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <div style="margin-left: 100px; margin-top: 20px;"> <u>1/10/05</u> <small>(Date)</small> </div> <div style="margin-left: 400px; margin-top: 40px;"> <div style="text-align: center;"> Valerie L. Phillips <small>(Typed or Printed Name of Person Mailing Correspondence)</small> </div> <div style="text-align: center; margin-top: 10px;">  <small>(Signature of Person Mailing Correspondence)</small> </div> <div style="text-align: center; margin-top: 20px;"> EV332064147US <small>("Express Mail" Mailing Label Number)</small> </div> </div>			